



# DEPARTMENT OF COMMERCE **Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO. FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
GREGORY J GLOVER DORSEY & WHITNEY 1330 CONNECTIOUT AVENI SUITE 200 WASHINGTON DC 20036	HM22/1122	ART UNIT PAPER NUMBER
		DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

	Application No.	Applicant(s)		
Office Action Summary	09/241,636	HEATH ET AL.		
omee rioden Gammary	Examiner	Art Unit		
	Jeanine A Enewold Goldberg	1655		
The MAILING DATE of this communication appe	ars on the cover sheet with the co	rrespondence address		
Period for Reply				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.				
<ul> <li>Extensions of time may be available under the provisions of 37 of after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If the period for reply specified above is less than thirty (30) days be considered timely.</li> </ul>	cation.			
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this				
communication.  - Failure to reply within the set or extended period for reply will, by	y statute, cause the application to become	e ABANDONED (35 U.S.C. § 133).		
Status				
1) Responsive to communication(s) filed on <u>05 September 2000</u> .				
	s action is non-final.			
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims				
4) Claim(s) 1-10 and 12-62 is/are pending in the	application.			
4a) Of the above claim(s) is/are withdrawn from consideration.				
5) Claim(s) is/are allowed.				
6)⊠ Claim(s) <u>1-10 and 12-62</u> is/are rejected.				
7) Claim(s) is/are objected to.				
8) Claims are subject to restriction and/or election requirement.				
Application Papers				
9) The specification is objected to by the Examine	er.			
10) The drawing(s) filed on is/are objected to by the Examiner.				
11) The proposed drawing correction filed on is: a) approved b) disapproved.				
12) The oath or declaration is objected to by the Examiner.				
Priority under 35 U.S.C. § 119				
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).				
a) All b) Some * c) None of the CERTIFIED copies of the priority documents have been:				
1. received.				
2. received in Application No. (Series Code / Serial Number)				
<ol><li>received in this National Stage application</li></ol>	n from the International Bureau (	PCT Rule 17.2(a)).		
* See the attached detailed Office action for a list of the certified copies not received.				
14) Acknowledgement is made of a claim for dome	stic priority under 35 U.S.C. & 11	9(e).		
Attachment(s)				
<ul> <li>15) Notice of References Cited (PTO-892)</li> <li>16) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>17) Information Disclosure Statement(s) (PTO-1449) Paper No(s)</li> </ul>	19) Notice of Informal	y (PTO-413) Paper No(s) Patent Application (PTO-152)		

Art Unit: 1655

Page 2

#### **DETAILED ACTION**

### **Continued Prosecution Application**

- 1. The request filed on September 5, 2000 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 09/241,636 is acceptable and a CPA has been established. An action on the CPA follows.
- 2. Currently, claims 1-10, 12-62 are pending. All arguments have been thoroughly reviewed but are deemed non-persuasive for the reasons which follow.
- 3. Any objections and rejections not reiterated below are hereby withdrawn.
- 4. This action contains new grounds of rejection necessitated by amendment.

# Maintained Rejections

# Claim Objections

- 5. The claims are objected to for the following:
- A1) Claims 28 and 33-41 are in improper Markush form. (See MPEP 2273.05(h)). A Markush group should read as "...is selected from the group consisting of..."

The objection is maintained because the response makes no remarks on the objection nor obviates the objection.

## New Grounds of Rejection Necessitated by Amendment

Claim Rejections - 35 USC § 112
The following is a quotation of the second paragraph of 35 U.S.C. 112:

Application/Control Number: 09/241,636 Page 3

Art Unit: 1655

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1-10, 12-62 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

A1) Claims 1-10, 12-62 are indefinite over the recitation "wherein the lysing reagent is bound to the solid support", in Claim 1 line 9 and 11. It appears as though this pharse appears twice in the claims, thus a little repetative. It is unclear the purpose of the repetition of this recitaiton.

B1) Claim 26 is indefinite because it is unclear how the step (d) relates to the method of Claim 26. Step (d) appears as though it may be a separately amended claim which was inadvertently added to the end of Claim 26.

C1) Claim 54 is indefinite because the claims do not recite a positive process step which clearly relates back to the preamble. The preamble states that the method is for characterizing DNA but the final process step is purifying the DNA. Therefore the claims are unclear as to whether the method is a method of characterizing or purifying DNA. The purification of DNA does not necessarily mean that the DNA has been characterized in any manner.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 1655

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom et al (5,234,809) in view of Shieh (US Pat. 6,054,039, April 2000).

Boom teaches methods of characterizing DNA. Boom discloses a process which involved contacting the biological material that contains DNA with a solid support that had been treated with a lysing reagent (i.e. a chaotropic substance), treating the biological material with a purifying reagent, and purifying the DNA (col. 4, lines 3-59). The chaotropic substance (lysing reagent) is contacted with silica particles (solid support). The biological material is then treated with purifying reagents, and the remainder of the biological matter is purified (washing buffer, alcohol washing solution and acetone. Additionally, the process described by Boom produces DNA which can be further used to "demonstrate NA sequences by means of an amplification method such as the PCR method...." (col.4, lines 48-50)(limitations of claims 24-27 and 45-46). Since Boom teaches that the Gus SCN (i.e. the lysis reagent) is added to the solid support (i.e. the silica beads) prior to addition of biological material. Boom is inherently teaching a solid support to which a lysing reagent is "bound." The term "bound" is being broadly interpreted to mean loosely and transiently in contact with the solid support. As described in Boom, the DNA may be eluted from the solid support by means of an eluting reagent (col.4, line 33). Boom teaches an eluting reagent can be TE buffer, aqua

Art Unit: 1655

bidest or PCR buffer. Boom further teaches the process where in the solid support is contained in a single vessel (col.4, lines 34-36) (limitations of claims 3 and 28). Boom demonstrates the use of isolating nucleic acids from a nucleic acid-containing biological material (col. 1, lines 10-20). The biological material stated includes tissues, cell cultures, blood, urine, and saliva (body fluids)(limitations of claims 5-6, 29-30). The nucleic acid was taught to be examined by gel electrophoresis (col. 10, lines 13-24) (limitations of claims 12-17, 19, 21, 47-49). This method may be used for characterizing the biological material and monitoring impurities. Yields were also taught in example A1 (col. 12, lines 46-48)(limitations of claim 18). Eluted DNA was treated with a restriction enzyme, electrophoresed and visualized (col 12 65-68) (limitations of claims 21 and 50). Boom also teaches hybridization analysis of the isolated nucleic acids (col. 9, lines 19-21)(limitations of claims 23 and 53). Boom teaches a method which can "provide a process with which nucleic acid can be isolated immediately..." (col. 1, lines 64-67) (limitation of claim 32). Boom teaches lysis buffers containing Tris (buffer), aqua bidest, GuSCN, and EDTA (col 6, lines 39-68).

Boom does not explicitly teach using a solid support in which the lysing reagent is bound, and unbound lysing reagent is removed prior to the contacting of the biological treatment.

However, Shieh teaches a method for characterizing DNA by contacting a biological material that contains DNA with a solid support pre-treated with a lysing reagent, treating the biological material with a DNA purifying reagent, purifying the DNA from the remainder of the biological material and analyzing the DNA. Specifically, Shieh

Art Unit: 1655

teaches the modification of a membrane strip to produce lysis of red blood cells that contacts it (col. 6, lines 17-20). Shieh teaches that membranes such as polymer treated glass fibers, polyamides, cellulose, polyesters may be used (col. 10, lines 42-57)(limitations of Claims 33). Shieh teaches teaches preparing a lysing component by treating the membranes with a lysing agent (col. 10, lines 65-67). Shieh teaches that lysing agents included Mega 8, Triton X, lauryl sulfate sals, TEA sals, sodium salt, among numerous others (col. 11, lines 1-10)(limitations of Claims 61-62). Furthermore, Shieh teaches that the lysing agent may be coated onto the membrane by any method used in the art for coating solutions onto films such as dip coating an aqueous solution or disperson of the lysing solution onto the membrane and allowing to dry (col. 11, lines 10-20). As provided in Example 1D, a cell lysing membrane was prepared (col. 12, lines 8-18). Furthermore, Shieh teaches that "this component caused lysis of whole blood when it passed across the membrane (col. 14, lines 31-33). Shieh teaches that the method and sensor may be used "on the spot: at home, in a physicians office or in a hospital room". Shieh also teaches the sensor is low cost and disposable (col. 15, lines 55-60).

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Boom, which characterizes DNA using a solid support, lysing reagent and a biological material with the method and pre-treated membrane of Shieh. Shieh teaches that biological samples may be lysed using a pre-treated membrane such that lysis is caused when a sample is passed across the membrane. The ordinary artisan would have been motivated to have

Art Unit: 1655

produced a solid support which was pre-treated with a lysing reagent, as taught by Sheih, for the expected benefit taught by Shieh as low cost and disposability. The ordinary artisan would also have been motivated to have prepared the pre-treated lysing membranes, of Shieh, for use in the method, of Boom, for the expected benefit of convenience. Moreover, the skilled artisan would have had a reasonable expectation of success for analyzing DNA from a solid support that was pretreated with a lysing reagent since Boom teaches a method in which all three components, a lysing reagent, solid support and nucleic acid sample, were contacted with successful results. Thus, the skilled artisan would have combined the teachings of Boom with the teachings of Shieh.

8. Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom et al (5,234,809) in view of Rupar et al (US Pat. 6,093,695, July 2000).

Boom teaches methods of characterizing DNA. Boom discloses a process which involved contacting the biological material that contains DNA with a solid support that had been treated with a lysing reagent (i.e. a chaotropic substance), treating the biological material with a purifying reagent, and purifying the DNA (col. 4, lines 3-59). The chaotropic substance (lysing reagent) is contacted with silica particles (solid support). The biological material is then treated with purifying reagents, and the remainder of the biological matter is purified (washing buffer, alcohol washing solution and acetone. Additionally, the process described by Boom produces DNA which can be further used to "demonstrate NA sequences by means of an amplification method such

Art Unit: 1655

as the PCR method...." (col.4, lines 48-50)(limitations of claims 24-27 and 45-46). Since Boom teaches that the Gus SCN (i.e. the lysis reagent) is added to the solid support (i.e. the silica beads) prior to addition of biological material, Boom is inherently teaching a solid support to which a lysing reagent is "bound." The term "bound" is being broadly interpreted to mean loosely and transiently in contact with the solid support. As described in Boom, the DNA may be eluted from the solid support by means of an eluting reagent (col.4, line 33). Boom teaches an eluting reagent can be TE buffer, aqua bidest or PCR buffer. Boom further teaches the process where in the solid support is contained in a single vessel (col.4, lines 34-36) (limitations of claims 3 and 28). Boom demonstrates the use of isolating nucleic acids from a nucleic acid-containing biological material (col. 1, lines 10-20). The biological material stated includes tissues, cell cultures, blood, urine, and saliva (body fluids)(limitations of claims 5-6, 29-30). The nucleic acid was taught to be examined by gel electrophoresis (col. 10, lines 13-24) (limitations of claims 12-17, 19, 21, 47-49). This method may be used for characterizing the biological material and monitoring impurities. Yields were also taught in example A1 (col. 12, lines 46-48)(limitations of claim 18). Eluted DNA was treated with a restriction enzyme, electrophoresed and visualized (col 12 65-68) (limitations of claims 21 and 50). Boom also teaches hybridization analysis of the isolated nucleic acids (col. 9, lines 19-21)(limitations of claims 23 and 53). Boom teaches a method which can "provide a process with which nucleic acid can be isolated immediately..." (col. 1, lines 64-67) (limitation of claim 32). Boom teaches lysis buffers containing Tris (buffer), agua bidest, GuSCN, and EDTA (col 6, lines 39-68).

Art Unit: 1655

Boom does not explicitly teach using a solid support in which the lysing reagent is bound, and unbound lysing reagent is removed prior to the contacting of the biological treatment.

However, Rupar teaches a method of releasing DNA from recombinant *E. coli* cells onto the nitroceullulose filter such that the filters were placed, colony side up, on 2 sheets of Whatman 3 MM Chr paper soaked with 0.5 N NaOH, 1.5 M NaCl for 15 min (col. 40, lines 62-67). Rupar teaches that this "treatments lyses the cells and denatures the released DNA allowing it to stick to the nitrocellulose filter.

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Boom, which characterizes DNA using a solid support, lysing reagent and a biological material with the method of lysing as taught by Ruper. Ruper teaches that contacting DNA with a solid support pretreated with lysing reagent, lyses the cells and denatures the released DNA. The ordinary artisan would have recognized this method of contacting cells with a solid support which was pretreated with lysing reagent as an equivalent means of lysing cells. The ordinary artisan would have realized that pretreating the solid support with the lysing reagent has the same effect such that the cells are lysed. Thus, the skilled artisan would have combined the teachings of Boom with the teachings of Ruper.

9. Claims 1-20, 24-33, 37-41, 44-49, 54-62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000).

Art Unit: 1655

Deggerdal teaches methods of characterizing DNA. Deggerdal discloses a "method of isolating nucleic acid from a sample, said method comprising contacting said sample with a detergent and a solid support, whereby soluble nucleic acid in said sample is bound to the support, and separating said support with bound nucleic acid from the sample" (pg 5, para 2). Deggerdal teaches that the "nucleic acid-containing sample may be contacted with the detergent and solid phase which may be added to the sample prior to, simultaneously with, or subsequently to the detergent (which functions in the method to lyse)"(pg 7, para 3, lines 22-29). Deggerdal is inherently teaching a solid support to which a lysing reagent is "bound." The term "bound" is being broadly interpreted to mean loosely and transiently in contact with the solid support. The solid support was contained in a vessel (pg 26, line 18). Deggerdal teaches isolation of nucleic acids and the elution of the nucleic acid by heating to 65 C for 5-10 minutes (pg 12, para 3, line 3)(limitations of claims 4 and 44). The samples may be of "any material containing nucleic acid" (pg 6, para 1, line 1-3)(limitations of claims 5-7 and 29-31). Deggerdal teaches a method of DNA isolation from cultured cells which indicates the number of cells used as starting material (pg 33, line 1) (limitations of claims 8-10). The purified DNA was then analyzed by PCR and visualized on agarose gel electrophoresis (pg 20, lines 29-32). Detection of extra bands indicated contamination (pg 17, lines 26-27). The solid support was taught to be made of "glass, silica, latex or a polymeric material" (pg 9, para 3)(limitations of claim 33). Deggerdal teaches an example where cells were lysed using DNA DIRECT Dynabeads and the lysate from each sample was further characterized (pg 35, lines 6-35)(limitations of claim 11). Deggerdal teaches the

Art Unit: 1655

lysing reagent as a detergent. This detergent may be supplied in simple aqueous solution (pg 8, line 7). Further any suitable buffer (Tris) is taught. The reagent may also include components such as enzymes, chelating agents and reducing agents (pg 8, lines 7-23) (limitations of claims 37-41).

Deggerdal does not explicitly teach using a solid support in which the lysing reagent is bound, and unbound lysing reagent is removed prior to the contacting of the biological treatment.

However, Shieh teaches a method for characterizing DNA by contacting a biological material that contains DNA with a solid support pre-treated with a lysing reagent, treating the biolical material with a DNA purifying reagent, purifying the DNA from the remainder of the biological material and analyzing the DNA. Specificallly, Shieh teaches the modification of a membrane strip to produce lysis of red blood cells that contacts it (col. 6, lines 17-20). Shieh teaches that membranes such as polymer treated glass fibers, polyamides, cellulose, polyesters may be used (col. 10, lines 42-57)(limitations of Claims \*\*\*). Shieh teaches teaches preparing a lysing component by treating the membranes with a lysing agent (col. 10, lines 65-67). Shieh teaches that lysing agents included Mega 8, Triton X, lauryl sulfate sals, TEA sals, sodium salt, among numerous others (col. 11, lines 1-10)(limitations of Claims \*\*\*). Furthermore, Shieh teaches that the lysing agent may be coated onto the membrane by any method used in the art for coating solutions onto fils such as dip coating an aqueous solution or disperson of the lysing solution onto the membrane and allowing to dry (col. 11, lines 10-20). As provided in Example 1D, a cell lysing membrane was prepared (col. 12,

Art Unit: 1655

lines 8-18). Furthermore, Shieh teaches that "this component caused lysis of whole blood when it passed across the membrane (col. 14, lines 31-33). Shieh teaches that the method and sensor may be used "on the spot: at home, in a physicians office or in a hospital room". Shieh also teaches the sensor is low cost and disposable (col. 15, lines 55-60).

Therefore, it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Deggerdal, which characterizes DNA using a solid support, lysing reagent and a biological material with the method and pre-treated membrane of Shieh. Shieh teaches that biological samples may be lysed using a pre-treated membrane such that lysis is caused when a sample is passed across the membrane. The ordinary artisan would have been motivated to have produced a solid support which was pre-treated with a lysing reagent, as taught by Sheih, for the expected benefit taught by Shieh as low cost and disposibility. The ordinary artisan would also have been motivated to have prepared the pre-treated lysing membranes, of Shieh, for use in the method, of Deggerdal, for the expected benefit of convenience. Moreover, the skilled artisan would have had a reasonable expectation of success for analyzing DNA from a solid support that was pretreated with a lysing reagent since Deggerdal teaches a method in which all three components, a lysing reagent, solid support and nucleic acid sample, were contacted with successful results. Thus, the skilled artisan would have combined the teachings of Deggerdal with the teachings of Shieh.

Art Unit: 1655

10. Claims 1-20, 24-33, 37-41, 44-49, 54-62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Deggerdal (WO 96/18731) in view of Rupar (US Pat. 6,093,695, July 2000).

Deggerdal teaches methods of characterizing DNA. Deggerdal discloses a "method of isolating nucleic acid from a sample, said method comprising contacting said sample with a detergent and a solid support, whereby soluble nucleic acid in said sample is bound to the support, and separating said support with bound nucleic acid from the sample" (pg 5, para 2). Deggerdal teaches that the "nucleic acid-containing sample may be contacted with the detergent and solid phase which may be added to the sample prior to, simultaneously with, or subsequently to the detergent (which functions in the method to lyse)"(pg 7, para 3, lines 22-29). Deggerdal is inherently teaching a solid support to which a lysing reagent is "bound." The term "bound" is being broadly interpreted to mean loosely and transiently in contact with the solid support. The solid support was contained in a vessel (pg 26, line 18). Deggerdal teaches isolation of nucleic acids and the elution of the nucleic acid by heating to 65 C for 5-10 minutes (pg 12, para 3, line 3)(limitations of claims 4 and 44). The samples may be of "any material containing nucleic acid" (pg 6, para 1, line 1-3)(limitations of claims 5-7 and 29-31). Deggerdal teaches a method of DNA isolation from cultured cells which indicates the number of cells used as starting material (pg 33, line 1) (limitations of claims 8-10). The purified DNA was then analyzed by PCR and visualized on agarose gel electrophoresis (pg 20, lines 29-32). Detection of extra bands indicated contamination (pg 17, lines 26-The solid support was taught to be made of "glass, silica, latex or a polymeric

Art Unit: 1655

material" (pg 9, para 3)(limitations of claim 33). Deggerdal teaches an example where cells were lysed using DNA DIRECT Dynabeads and the lysate from each sample was further characterized (pg 35, lines 6-35)(limitations of claim 11). Deggerdal teaches the lysing reagent as a detergent. This detergent may be supplied in simple aqueous solution (pg 8, line 7). Further any suitable buffer (Tris) is taught. The reagent may also include components such as enzymes, chelating agents and reducing agents (pg 8, lines 7-23) (limitations of claims 37-41).

Deggerdal does not explicitly teach using a solid support in which the lysing reagent is bound, and unbound lysing reagent is removed prior to the contacting of the biological treatment.

However, Rupar teaches a method of releasing DNA from recombinant *E. coli* cells onto the nitroceullulose filter such that the filters were placed, colony side up, on 2 sheets of Whatman 3 MM Chr paper soaked with 0.5 N NaOH, 1.5 M NaCl for 15 min (col. 40, lines 62-67). Rupar teaches that this "treatments lyses the cells and denatures the released DNA allowing it to stick to the nitrocellulose filter.

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill in the art at the time the invention was made to have modified the method of Boom, which characterizes DNA using a solid support, lysing reagent and a biological material with the method of lysing as taught by Rupar. Rupar teaches that contacting DNA with a solid support pretreated with lysing reagent, lyses the cells and denatures the released DNA. The ordinary artisan would have recognized this method of contacting cells with a solid support which was pretreated with lysing reagent as an equivalent means of lysing

Art Unit: 1655

cells. The ordinary artisan would have realized that pretreating the solid support with the lysing reagent has the same effect such that the cells are lysed. Thus, the skilled artisan would have combined the teachings of Boom with the teachings of Rupar.

11. Claims 38 and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5,234,809) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 above, and further in view of in view of Deggerdal (WO 96/18731).

Niether Boom, Shieh nor Rupar teaches a lysing reagent which does not contain a buffer.

Deggerdal, however, teaches a lysing reagent as a detergent. This detergent may be supplied in simple aqueous solution (pg 8, line 7). Further any suitable buffer (Tris) is taught. The reagent may also include components such as enzymes, chelating agents and reducing agents (pg 8, lines 7-23) (limitations of claims 37-41).

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill at the time of the invention was made to have modified the method of Boom in view of Shieh or Rupar to include the use of the lysing reagents taught in Deggerdal. The ordinary artisan would have been motivated to use the lysing reagents taught in Deggerdal because the lysing reagents taught in Deggerdal were readily available.

Art Unit: 1655

12. Claims 23 and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-20, 24-33, 37-41, 44-49, 54-62 above and further in view of Boom (5,234,809).

Neither Deggerdal, Shieh nor Rupar specifically teaches conducting a hybridization analysis on the amplified DNA.

However, Boom teaches hybridization analysis of the isolated nucleic acids (col. 9, lines 19-21).

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill at the time of the invention was made to have applied the method of Deggerdal in view of Shieh or Rupar to include the method of hybridization reactions as used in the method of Boom. The ordinary artisan would have been motivated to have conducted hybridization reactions taught in the method of Boom on the isolated DNA obtained from the Deggerdal method to further characterize the DNA sample.

13. Claims 7, 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5,234,809) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 above and further in view of Su (5,804,684).

Niether Boom, Shieh nor Rupar specifically teaches biological material from the group consisting of environmental samples taken from air, water, sediment and soil.

Art Unit: 1655

However, Su teaches a list of samples which includes "any type of biological sample....environmental, nutritional, scientific or industrial significance" (col.8, lines 3-16).

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill at the time of the invention was made to have applied the method of Boom in view of Shieh or Rupar to include the use of environmental samples as the biological starting material as used in the method of Su. The ordinary artisan would have been motivated to have sampled the biological materials from the environment because environmental samples are a well known source of clinically important DNA containing organisms whose detection is necessary to prevent disease spread, for example.

14. Claims 42-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5, 804,684) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 above or Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-20, 24-33, 37-41, 44-49, 54-62 above and further in view of Su (5,804684).

Niether Boom in view of Shieh or RUpar nor Deggerdal in view of Shieh or Rupar specifically teach the eluting reagent as specified in the claims.

However, Su teaches the elution buffer to be 5 mM Tris HCl, pH 9, and 0.5 mM EDTA (col 10, line 17).

Art Unit: 1655

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill at the time of the invention was made to have modified the method of Boom in view of Shieh or Rupar or Deggerdal in view of Shieh or Rupar to include the use of the elution buffer described in the method of Su. The ordinary artisan would also have expected that using the elution buffer of Su in the method of Boom or Deggerdal with the elution buffer described in Su would have provided equivalent results.

15. Claims 22 and 51-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5, 804,684) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 above or Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-20, 24-33, 37-41, 44-49, 54-62 above and further in view of Sambrook (Molecular Cloning).

Niether Boom in view of Shiehor Rupar nor Deggerdal in view of Shieh or Rupar specifically teach sequencing the purified DNA.

However, Sambrook teaches the analysis of DNA by nucleic acid sequencing (13.3). Sambrook teaches that the sequences provide the advantage of determining the sequence of nucleotides in a particular DNA molecule.

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary shill at the time of the invention was made to have modified the method of Boom or Deggerdal in view of Shieh or Rupar to include the sequencing analysis method taught by Sambrook

Art Unit: 1655

in order to make the claimed invention as a while. The ordinary artisan would be motivated to have sequenced the purified DNA obtained by the Boom method in order to have achieved the expected advantage of determining the sequence of nucleotides of the isolated DNA.

16. Claims 33 and 35-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5, 804,684) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-3, 5-6, 11-21, 23-30, 32-33, 37, 39, 41, 45-51, 53-56, 58, 60-62 above or Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) as applied to Claims 1-20, 24-33, 37-41, 44-49, 54-62 above and further in view of Arnold (5,599,667).

Niether Boom, Deggerdal, Shieh nor Rupar specifically teach using polyolefin as a solid support wherein polyolefin is hydrophilic and has a charge.

However, Arnold teaches polycationic solid supports that can be used purification of nucleic acids (see abstract). The polycationic support matrix is taught to include inorganic and organic materials which include glasses, polyolefins and polysaccharides (col.8, lines 55-62).

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill at the time of the invention was made to have modified the method of Boom or Deggerdal in view of Shieh or Rupar to include the solid supports of Arnold in order to make the claimed invention as a whole. The ordinary artisan would be motivated to have

Art Unit: 1655

substituted polyolefins as a solid support in the Boom or Deggerdal method because Arnold taught that polyolefins and glass are both suitable for DNA isolation because they meet the same "principle requirement" of "not unduly adsorbing either contaminants or nucleotide probes (col. 8, lines 61-64). Consequently Arnold shows that the silica of Boom or Deggerdal and the polyolefins of the claims are equivalent.

17. Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over Boom (5, 804,684) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) or Deggerdal (WO 96/18731) in view of Shieh (US Pat. 6,054,039, April 2000) or Rupar (US Pat. 6,093,695, July 2000) and further in view of Arnold (5,599,6667) as applied to claim 33, 35-36 above, and further in view of Hasebe (5,151,345).

Arnold teaches polycationic solid supports that can be used purification of nucleic acids (see abstract). The polycationic support matrix is taught to include inorganic and organic materials which include glasses, polyolefins and polysaccharides (col.8, lines 55-62).

However, neither Boom or Deggerdal nor Arnold specifically teaches that polyolefin is a mixture of low density polyethylene and polypropylene fibers.

However, Hasebe teaches that "a polyolefin resin is preferred, and low-density polyethylene, high-density polyethylene...or a blend thereof is preferably used" (col 11, lines 32-39).

Page 21

Therefore, it would have been <u>prima facie</u> obvious to one of ordinary skill in the art at the time of the invention was made to combine the methods of Boom or Deggerdal and Arnold as discussed above and use the types of polyolefins taught by Hasebe. As Arnold teaches that "polyolefins" may be used in DNA isolation, one of ordinary skill in the art would have been motivated to use a preferred polyolefin resin.

#### Conclusion

- 18. No Claims are allowable over the prior art.
- 19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Jeanine Enewold Goldberg whose telephone number is (703) 306-5817. The examiner can normally be reached Monday-Thursday from 7:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jones, can be reached on (703) 308-1152. The fax number for this Group is (703) 308-4242.

Any inquiry of a general nature should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Jeanine Enewold Goldberg November 7, 2000

LISA B. ARTHUR
PRIMARY EXAMINER
GROUP 1800 1400